



To: Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL
UTILITY

Sir:

Transmitted herewith for filing is a **utility** patent application:

Inventor(s): Peter B. Dervan, et al.

Title: STEREOCHEMICAL CONTROL OF THE DNA BINDING AFFINITY,
SEQUENCE SPECIFICITY, AND ORIENTATION-PREFERENCE OF
CHIRAL HAIRPIN POLYAMIDES IN THE MINOR GROOVE

I. PAPERS ENCLOSED HERewith FOR FILING UNDER 37 CFR § 1.53(b):

- 51 Page(s) of Written Description
2 Page(s) Claims
1 Page(s) Abstract
18 Sheets of Drawings ☒ Informal ☐ Formal

II. ADDITIONAL PAPERS ENCLOSED IN CONNECTION WITH THIS FILING:

- ☒ Declaration
☒ Power of Attorney: ☐ Separate or ☒ Combined with Declaration
☒ 3 Assignment to California Institute of Technology and assignment cover sheet
☒ Verified Statement establishing "**Small Entity**" under 37 CFR §§ 1.9 and 1.27

SD-126093.1 OF MAILING
(37 C.F.R. § 1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

EL088594227US

Express Mail Label No.

August 12, 1999

Date of Deposit

TAMARA TURNER
Name of Person Mailing Paper

TAMARA TURNER
Signature of Person Mailing Paper

- ☐ Priority Document No(s): -----
- ☐ Information Disclosure Statement w/PTO 1449 ☐ Copy of Citations
- ☒ Preliminary Amendment
- ☒ Return Postcard

III. THE FILING FEE HAS BEEN CALCULATED AS SHOWN BELOW:

BASIC FILING FEE:					\$760.00
Total Claims	25	-	20	= 5 x \$18.00	\$90.00
Independent Claims	7	-	3	= 4 x \$78.00	\$312.00
Multiple Dependent Claims	\$260	(if applicable)		<input checked="" type="checkbox"/>	\$260.00
TOTAL OF ABOVE CALCULATIONS					\$1,422.00
Reduction by 1/2 for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28. If applicable, Verified Statement must be attached.					\$711.00
					<input checked="" type="checkbox"/>
Misc. Filing Fees (Recordation of Assignment -- \$40)					\$40.00
TOTAL FEES SUBMITTED HEREWITH					\$751.00

IV. METHOD OF PAYMENT OF FEES

- ☒ A check in the amount of \$751.00.
- ☐ Charge Lyon & Lyon's Deposit Account No. 12-2475 in the amount of _____.
- ☐ This application is being filed without fee or Declaration under 37 CFR § 1.53.

V. AUTHORIZATION to CHARGE FEES

The Commissioner is authorized to charge Lyon & Lyon's Deposit Account No. 12-2475 for the following:

- ☒ 37 CFR § 1.16(a), (f) or (g) - (Filing fees)
- ☒ 37 CFR § 1.16(b), (c) and (d) - (Presentation of Extra Claims)
- ☒ 37 CFR § 1.16(e) - (Surcharge for filing the basic filing fee and/or Declaration on a date later than the filing date of the application)
- ☒ 37 CFR § 1.17 - (Any Application processing fees)

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☒ Credit Lyon & Lyon's Deposit Account No. 12-2475 for overpayment of fees.

Respectfully submitted,

LYON & LYON LLP

Dated: 08/12/99

By:



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